

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 112056-0033

First Inventor John Marshal Reed et al.

Title METHOD AND SYSTEM TO QUARANTINE SYSTEM  
SOFTWARE AND CONFIGURATION

Express Mail Label No. E1 705610918 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages  )  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets  )
5. Oath or Declaration (unsigned) (Total Pages  )  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner \_\_\_\_\_

of prior application No: \_\_\_\_\_ /

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

(Insert C



et here)

or ☐ Correspondence address below

Name	Duane H. Dreger					24267
						PATENT TRADEMARK OFFICE
Address	Cesari and McKenna					
	88 Black Falcon Avenue					
City	Boston	State	MA	Zip Code	02210	
Country	U. S. A.	Telephone	(617) 951-2500	Fax	(617) 951-3927	

Name (Print/Type)	Duane H. Dreger	Registration No. (Attorney/Agent)	48,836
Signature		Date	February 7, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any  
comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark  
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Patents, Box Patent Application, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re The Application of:	)	
John Marshal Reed et al.	)	
Serial No.: Not Yet Assigned	)	Examiner: Not Yet Assigned
Filed: Herewith	)	
For: METHOD AND SYSTEM TO	)	Art Unit: Not Yet Assigned
QUARANTINE SYSTEM SOFT-	)	
WARE AND CONFIGURATION	)	
	)	
		Cesari and McKenna, LLP
		88 Black Falcon Avenue
		Boston, MA 02210
		February 7, 2002

**EXPRESS-MAIL DEPOSIT**

"Express Mail" Mailing-Label Number: EL 705610918 US

The following papers are being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. §1.10:

<u>X</u> Patent Application ( 22 pages including 22 claims )	
<u>X</u> Oath or Declaration (3 pages unsigned)	<u>X</u> Fee Transmittal Letter
<u>X</u> Utility Patent Application Transmittal	<u>X</u> Informal Drawing ( 5 sheets )
Letter	
<u>X</u> Check No. 23698 for \$1,028	<u>X</u> Request for Nonpublication

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 1,028

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	John Reed et al.
Examiner Name	Not Yet Assigned
Group Art Unit	Not Yet Assigned
Attorney Docket No.	112056-0033

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

03-1237

Deposit  
Account  
Name

Cesari and McKenna, LLP

☒ Charge Any Additional Fee Required  
Under 37 C.F.R. §§1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check

☐ Credit card

☐ Money  
Order

☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$)

101 740 201 370

Utility filing fee

Fee Paid

740

106 330 206 165

Design filing fee

107 510 207 255

Plant filing fee

108 740 208 370

Reissue filing fee

114 160 214 80

Provisional filing fee

SUBTOTAL (1)

(\$)

740

### 2. EXTRA CLAIM FEES

Total Claims 22 - 20\*\* = 2 x 18 = 36

Independent Claims 6 - 3\*\* = 3 x 84 = 252

Multiple Dependent ☐ = 0

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$)

103 18 203 9

Claims in excess of 20

102 84 202 42

Independent claims in excess of 3

104 280 204 140

Multiple dependent claim, if not paid

109 84 209 42

\*\*Reissue independent claims over

110 18 210 9

\*\*Reissue claims in excess of 20

and over original patent

SUBTOTAL (2)

(\$)

288

\*\*or number previously paid, if greater; For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$)

105 130 205 65

Fee Description

Fee Paid

127 50 227 25

Fee Surcharge - late filing fee or oath

139 130 139 130

Surcharge - late provisional filing fee or cover sheet

147 2,520 147 2,520

Non-English Specification

112 920 112 920\*

For filing a request for ex parte reexamination

113 1,840 113 1,840\*

Requesting publication of SIR prior to Examiner action

115 110 215 55

Requesting publication of SIR after Examiner action

116 400 216 200

Extension for reply within first month

117 920 217 480

Extension for reply within second month

118 1,440 218 720

Extension for reply within third month

128 1,960 128 980

Extension for reply within fourth month

119 320 219 160

Extension for reply within fifth month

120 320 220 160

Notice of Appeal

121 280 221 140

Filing a brief in support of an appeal

138 1,510 138 1,510

Request for oral hearing

140 110 240 55

Petition to institute a public use proceeding

141 1,280 241 640

Petition to revive - unavoidable

142 1,280 242 640

Petition to revive - unintentional

143 460 243 230

Utility Issue fee (or reissue)

144 620 244 310

Design Issue fee

122 130 122 130

Plant Issue fee

123 50 123 50

Petitions to the Commissioner

126 180 126 180

Processing fee under 37 CFR 1.17(q)

581 40 581 40

Submission of Information Disclosure Stmt

146 740 246 370

Recording each patent assignment per property (times number of properties)

149 740 249 370

Filing a submission after final rejection (37 CFR 1.129(a))

179 740 279 370

For each additional invention to be examined (37 CFR 1.129(b))

169 900 169 900

Request for Continued Examination (RCE)

Other fee (specify)

SUBTOTAL (3)

(\$)

0

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

0

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Duane H. Drager

Registration No.

48,836

Telephone

(617) 951-2500

Signature

*Duane H. Drager*

Date

February 7, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	John Marshal Reed et al.
Title	METHOD AND SYSTEM TO QUARANTINE SYSTEM SOFTWARE AND CONFIGURATION
Atty Docket Number	112056-0033

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 7, 2002

Date

  
Signature

Duane H. Dreger, Reg. No 48,836

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.